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DATE: May 7, 2007

TO: Examiner James M. Alpert  
TC Art Unit: 3693

Fax No.: (571) 273 8300

FROM: Brendan J. Kennedy

No. of pages transmitted  
(including this page): 28

Our File: FOREX-001XX

Time: 4:40pm

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Sent by: Diana

Application No. 09/834,003

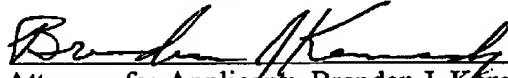
Filed Date: April 12, 2001

Confirmation No.: 1861

A confirmation copy of this transmission will not be mailed unless the following is checked: [ ]  
MESSAGEPLEASE DELIVER DIRECTLY TO:  
EXAMINER JAMES M. ALPERT, Tel. (571) 272-6738  
TC ART UNIT NO: 3693**FOR ENTRY**

Enclosed for filing please find an Amendment.

The Commissioner is hereby authorized to Charge Deposit Account No. 23-0804 for any additional filing fees associated with this communication or credit any overpayment.

  
Attorney for Applicant: Brendan J. Kennedy  
Registration No. 41,890

351646.1

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Application No.: 09/834,003  
 Filed: April 12, 2001  
 TC Art Unit: 3693  
 Confirmation No.: 1861

Rev 9/06

WEINGARTEN, SCHURGIN, GAGNEBIN &amp; LEOVICI LLP

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 MAY 07 2007

Via Facsimile

COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

Date: May 7, 2007

Attorney

Docket No.: FOREX-001XX

Sir:

In re application of: Lois r. Scheirer

Entitled: METHOD AND SYSTEM FOR DELIVERING FOREIGN EXCHANGE RISK MANAGEMENT  
 ADVISORY SOLUTIONS TO A DESIGNATED MARKET

Transmitted herewith is an amendment in the above-identified application. The following checked items are applicable:

- ☐ This is a Request for Continued Examination under §1.114; authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of same (\$ ) per §1.17(e).  
☐ Enter the unentered amendment previously filed on per §1.116.


☒ Small Entity Status is asserted.☒ A Petition for Extension of Time for three (3) months is hereby made under §1.136(a); authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of same (\$510.00) per §1.17.☒ In the event a Petition for Extension of Time is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.☐ Other:

CLAIMS AFTER AMENDMENT:	MINUS PRIOR PAID CLAIMS:	EQUALS PRESENT EXTRA CLAIMS:	RATE:	ADDITIONAL FEE:
Independent	7 - 31	= 0	x \$200.00 =	0
Total	27 - 104	= 0	x \$ 50.00 =	0
<input type="checkbox"/> Multiple Dependent Claims (1st presentation)			+ \$360.00 =	0
SUBTOTAL ADDITIONAL FEE				0
Small Entity filing, divide by 2. Small Entity status must be asserted.				0
TOTAL ADDITIONAL FEE				0

☒ No additional fee. ☐ The fee has been calculated above; authorization is provided herewith to charge Deposit Account No. 23-0804 (\$ ) for the cost of same.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees under §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

I hereby certify that this correspondence is being sent via facsimile to Examiner James M. Alpert, TC Art Unit 3693, Fax No. (571) 273 8300, on May 7, 2007.

  
 Attorney of Record: Brendan J. Kennedy  
 Registration No.: 41,890

BJK/dmc 351645.1

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PATENT

Rev 02/07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Lois R. Scheirer  
Application No. : 09/834,003  
Filed : April 12, 2001  
Confirmation No. : 1861  
For : METHOD AND SYSTEM FOR DELIVERING FOREIGN  
EXCHANGE RISK MANAGEMENT ADVISORY  
SOLUTIONS TO A DESIGNATED MARKET  
Examiner : James M. Alpert  
Attorney's Docket : FOREX-001XX

TC Art Unit: 3693

\*\*\*\*\*  
I hereby certify that this correspondence is being sent via  
facsimile to Examiner James M. Alpert, TC Art Unit 3693, Fax No.  
(571) 273 8300, on May 7, 2007.

By: Brendan J. Kennedy  
Brendan J. Kennedy  
Registration No. 41,890  
Attorney for Applicant(s)

\*\*\*\*\*

AMENDMENT

Via Facsimile  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action dated November 6, 2006,  
please amend the above-identified patent application as follows.

WEINGARTEN, SCHURGIN,  
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